



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re application of : Confirmation No. 8304
Osamu SAKANAKA et al. : Docket No. 2000-1081A
Serial No. 09/601,655 : Group Art Unit 1625
Filed August 4, 2000 : Examiner Binta M. Robinson

NOVEL ANTIFUNGAL COMPOUND AND
PROCESS FOR PRODUCING THE SAME

FOURTH REQUEST FOR PTO-1449 FORM

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEE FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975.

Sir:

On August 4, 2000 Applicants filed an IDS, citing the references identified in the International Search Report. The Notification of Acceptance mailed August 23, 2000 indicates that the PTO has received copies of the International Search Report and the references cited therein. However, a copy of the Examiner-initialed PTO-1449 form was not attached to the Office Action of February 26, 2001 (or the Office Action of November 6, 2000, or the Office Action of September 24, 2003). Such an Examiner-initialed PTO-1449 form is requested, showing that the Examiner has considered the references submitted with the IDS.

A copy of the previously submitted PTO-1449 Form is enclosed for the Examiner's convenience.

Respectfully submitted,

Osamu SAKANAKA et al.

By:

Michael R. Davis
Registration No. 25,134
Attorney for Applicants

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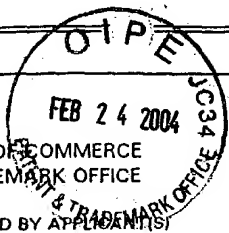
MRD/pth
Washington, D.C. 20006-1021
Telephone (202) 721-8200
Facsimile (202) 721-8250
February 24, 2004

TECH CENTER 1600/2900

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: August 4, 2000

ATTY DOCKET NO.
2000_1081ASERIAL NO.
NEWAPPLICANT
Osamu SAKANAKA et al.FILING DATE
August 4, 2000

GROUP

COPY

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|----|--------------------|------|------|-------|----------|-------------------------------|
| | AA | | | | | | |
| | AB | | | | | | |
| | AC | | | | | | |
| | AD | | | | | | |
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| | AG | | | | | | |
| | AH | | | | | | |
| | AI | | | | | | |

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FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION YES NO |
|--|----|--------------------|------|---------|-------|----------|-----------------------|
| | AJ | 7-233165 | 9/95 | Japan | | | Abstract |
| | AK | 44-235 | 1/69 | Japan | | | X |
| | AL | 7-196489 | 8/95 | Japan | | | Abstract |
| | AM | | | | | | |
| | AN | | | | | | |

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

| | |
|----|---|
| AO | Shimano et al., "Total Synthesis of the Antifungal Dilactones UK-2A and UK-3A: The Determination of their relative and Absolute Configurations, Analog Synthesis and Antifungal Activities.", Tetrahedron 54 (1998) 12745-12774 |
| AP | |
| AQ | |

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EXAMINER

DATE CONSIDERED